

**UNI-President Chain Store Corporation.**

**Internal Audit Report on Information Security for  
the Year 2024**

## **A. Audit Purpose**

To gain a clear understanding of the implementation status of the Information Security Management System (hereinafter referred to as ISMS) of UNI-President Chain Store Corporation (hereinafter referred to as UNI-PSCS) internal audit is conducted in accordance with the UNI-PSCS' relevant regulations. This audit aims to ensure that the ISMS operations are effectively implemented.

An independent audit approach will be adopted to assess whether the control objectives, methods, processes, and procedures of the ISMS comply with applicable laws and regulations, the ISO/IEC 27001:2022 international standard, and the UNI-PSCS' internal information security policies and requirements.

## **B. Audit Objective**

1. To ensure compliance with information security management system policies and procedures.
2. To ensure the effective allocation and utilization of resources.
3. To verify the effectiveness of management processes.
4. To assess the level of implementation of existing systems.

## **C. Audit Procedures**

1. Review the adequacy of information security management system (ISMS) policies and standards.
2. Examine the compliance of information security management documentation.
3. Review the processes for information security risk management and mitigation.
4. Examine the system operational procedures.
5. Evaluate the adequacy of system access controls.
6. Review the records of personnel training.

## **D. Audit Period**

October 24, 2024

## **E. Auditors**

Audit Team

## **F. Summary of Audit Findings**

The supervisors and employees of the audited departments are well aware of the UNI-PSCS' information security policy requirements. No major non-conformity were found in the related documented procedures and internal controls of information security.